



INVESTMENT ADVISORS of Indianapolis, Inc.

Privacy Policy

Our Commitment to Privacy

Investment Advisors of Indianapolis, Inc. has always been committed to maintaining the confidentiality, integrity and security of personal information entrusted to us by current and prospective customers. We are proud of our privacy practices, and we want you to know how we protect your information and how we use it to service your account. We hope you will take a moment to review the privacy policy of Investment Advisors of Indianapolis, Inc..

Investment Advisors of Indianapolis, Inc. Privacy Policy

How and Why We Obtain Personal Information

Investment Advisors of Indianapolis, Inc. uses personal information collected about you to provide you with the superior service you have come to expect from us. We may use this information in order to develop, offer, and deliver products and services; process transactions in your account; respond to inquiries from you or your representative; or to fulfill legal and regulatory requirements. Investment Advisors of Indianapolis, Inc. may collect nonpublic personal information about you from any of the following sources:

- * From you or your representative on applications or forms (for example, name, address, Social Security number, birth date, assets, and income)
- * From transactional activity in your account (for example, trading history and balances)
- * From you or your representative regarding your preferences (for example, paper statements vs. electronic statements, or the screen layout you specify if you use our Internet sites)

How We Protect Your Information

Investment Advisors of Indianapolis, Inc. has always considered the protection of sensitive information to be a sound business practice and a foundation of customer trust. We employ extensive information protection controls in keeping with industry standards and practices, and we regularly adapt these physical, electronic, and procedural controls to respond to changing requirements and advances in technology.

Within Investment Advisors of Indianapolis, Inc. and among our service providers, we restrict access to information to those who require that access in order to provide products and services to you. We may share the personal information that we collect with the following entities:

- * Affiliates, including affiliated service providers (for example, our data processing company and printing operation)
- * Unaffiliated service providers (for example, fulfillment companies and securities clearinghouses)



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- * Government agencies and law enforcement officials (for example, for tax reporting or under court order)
- * Other organizations, with your consent or as directed by your representative (for example, if you use Investment Advisors of Indianapolis, Inc. as a financial reference in applying for credit with another institution)
- * Other organizations, as permitted by the laws that protect your privacy (for example, for fraud prevention)
- * As described below, in circumstances that apply only to certain subsets of Investment Advisors of Indianapolis, Inc. customers

Privacy Online

Investment Advisors of Indianapolis, Inc. considers privacy, security, and service in our online operations to be just as critical as in the rest of our business. We therefore employ all of the safeguards described above, along with the following Internet-specific practices. Investment Advisors of Indianapolis, Inc. uses a variety of proven protections to maintain the security of your online session. For example, we make extensive use of firewall barriers, encryption techniques and authentication procedures. We also use cookies and similar files that may be placed on your hard drive for security purposes, to facilitate site navigation and to personalize the appearance of our site.

When you visit Investment Advisors of Indianapolis, Inc.'s Internet sites, we may collect technical and navigational information, such as computer browser type, Internet protocol address, pages visited, and average time spent on our Web sites. This information may be used, for example, to alert you to software compatibility issues, or it may be analyzed to improve our Web design and functionality.

Your Connection to Investment Advisors of Indianapolis, Inc.

If you are a former customer, your information is treated in the same manner as the information of current customers.

For your convenience, Investment Advisors of Indianapolis, Inc. offers several options for accessing your account information. You can review your information independently using your statements, Internet services. You may also e-mail, write, or call us with your request for information. Specific Internet addresses, mailing addresses, and telephone numbers are listed on your statements and other correspondence.